

STATE OF NEVADA
COMMISSION ON ETHICS

**STATEMENT OF FINANCIAL
DISCLOSURE FORM**

INFORMATION AND INSTRUCTIONS



PRESCRIBED UNDER THE AUTHORITY GRANTED BY NRS 281.571

FILING OFFICER: This form is to be signed, detached and forwarded to: Nevada Commission on Ethics,
Capitol Complex, Carson City, Nevada 89710.

ACKNOWLEDGMENT

I,, hereby acknowledge receipt of a Statement of Financial Disclosure Form (NCE-1) and accompanying information and instructions sheet. I understand that I must file the Statement of Financial Disclosure, and all future Statements, within the specified statutory time. I understand that a willful failure to file the required Statements within the specified statutory time is a misdemeanor (NRS 281.581); and that knowingly making any false or misleading statements on a Statement of Financial Disclosure is a gross misdemeanor (NRS 197.130).

..... Date Signature
..... Printed Name Mailing address
..... Office Being Sought or Held Telephone Number (Optional)

(This acknowledgment should be completed and forwarded by the filing officer if the instructions and form are mailed to a public officer at his request.)

STATEMENTS OF FINANCIAL DISCLOSURE
INFORMATION AND INSTRUCTIONS

INFORMATION

1. The attached statement of financial disclosure (form NCE-1) is prescribed by the Nevada Commission on Ethics and must be used by all candidates for elective office and all "public officers" elected or appointed to governmental positions, whether on a state, district, county or municipal level.

2. **NRS 281.4365 "Public officer" defined.** "Public officer" means a person elected or appointed to a position which is established by the constitution of the State of Nevada, a statute of this state or an ordinance of any of its counties or incorporated cities and which involves the exercise of a public power, trust or duty, except:

- (1) Any justice, judge or other officer of the court system;
- (2) A commissioner of deeds; and
- (3) Any member of a board, commission or other body whose function is advisory.

Nevada Attorney General's Opinion No. 193, dated September 3, 1975, provides the following guidelines in determining whether a particular person is a "public officer:"

1. **All** persons elected to governmental positions, whether on a state, district, county or municipal level, are public officers.

2. A person appointed to a governmental position, whether on a state, district, county or municipal level, is a public officer if his position is created by the constitution, a statute or ordinance and if, further, his duties are specifically set forth in the constitution, statute or ordinance and that person is made responsible, by the constitution, statute or ordinance, for the direction, supervision and control of his agency.

3. A person occupying a public office is a public officer regardless of whether he occupies that position full-time or part-time or whether he is compensated or not compensated."

Notwithstanding the above, Attorney General's Opinion No. 193 further provides that in addition to federal officers and members of the judicial department of state government, the following persons are also exempted from filing a statement of financial disclosure under NRS 281:

1. Members of committees, commissions or boards which are **solely** advisory in nature and which do not exercise **any** powers of executing or administering the law.

2. Notaries public and commissioners of deeds because their functions are such that the Legislature could not reasonably have intended them to be covered by Chapter 540. (NRS 281.)

3. Deputies and assistants because their exercise of authority is entirely dependent on the will of their superiors, who have the actual responsibility for the operation of their agencies.

3. **NRS 281.561 Financial disclosure statement: Filing with secretary of state.** Every candidate for public office and every public officer shall file with the secretary of state, for review by the commission, a statement of financial disclosure, as follows:

(1) A candidate for nomination or election shall file a statement of financial disclosure no later than the 10th day after the last day to qualify as a candidate for the office.

(2) A public officer appointed to fill the unexpired term of an elected public officer shall file a statement of financial disclosure within 6 months after his appointment.

(3) A public officer who holds an elective office shall file a statement of financial disclosure within 6 months before the expiration of his term.

(4) A public officer who holds an appointive office shall file statements of financial disclosure:

(a) Within 6 months after his appointment; and

(b) Within 6 months before the expiration of his term, or if he serves at the pleasure of the appointing authority, within 6 months before the expiration of the term of the appointing authority, or if the appointing authority has no fixed term, within such period as the commission prescribes.

NOTE: Terms of members of the state legislature expire the day after the next election for the office.

4. **NAC 281.185 Filing of statements by public officer who serves at pleasure of appointing authority which has no fixed term of office.** A public officer who serves at the pleasure of an appointing authority which has no fixed term of office shall file a statement of financial disclosure, in addition to the statement initially required:

(1) Every 2 years after his appointment; and

(2) Within 90 days before the termination of his appointment if he has notice of the termination or, if he is discharged without notice, within 10 days after his discharge.

COMMENTARY—A public officer who on December 24, 1985:

(1) Holds an appointive office and serves at the pleasure of an appointing authority which has no fixed term; and

(2) Has never filed a statement of financial disclosure, shall file such a statement within 90 days after January 1, 1986.

5. **"District" defined.** The term "district," as used in NRS 281.571 concerning the financial disclosure statement, means precinct. Every person required to file a financial disclosure statement shall state his length of residence in the precinct in which he is registered to vote.

6. **"Household" defined.** "Household" means an association of persons who live in the same home or dwelling, sharing its expenses, and who are related by blood, adoption or marriage. (NRS 281.434.)

7. **NRS 281.581 Penalty for failure to disclose.** A willful failure to file a statement of financial disclosure as required by the provisions of NRS 281.561 and 281.571 or regulations adopted thereunder is a misdemeanor.

INSTRUCTIONS

1. **Type** or **print** all information.

2. If additional sheets are necessary to complete the disclosures, attach them to the statement and label the information with the corresponding number on the statement.

3. If there is no information to be disclosed, type or print, "NONE" in the appropriate space(s).

4. If you have been elected and/or appointed to two (2) or more positions as a public officer serving concurrently and/or simultaneously, you must complete and file a statement of financial disclosure for each position.

5. Statement of financial disclosure shall be filed by mailing to:

NEVADA COMMISSION ON ETHICS
CAPITOL COMPLEX
CARSON CITY, NEVADA 89710

or may be delivered in person to the office of:

NEVADA COMMISSION ON ETHICS
CAPITOL BUILDING
CARSON CITY, NEVADA

COMMISSION ON ETHICS
STATEMENT OF FINANCIAL DISCLOSURE

(Read instructions on cover sheet before beginning.)

1. Name..... 2. Business phone (optional)
3. Mailing address
Street or P.O. Box City Zip
4. Length of residence in Nevada
5. Length of residence in district (precinct in which registered to vote).....

6. Complete this section only if you are a candidate for elective office or now hold elective office by election or appointment:

- a. Office sought or held
- b. Date term of office begins/began
- c. Date term of office ends
- d. Name and address of governmental entity:

6. Complete this section only if you hold appointive public office:

- a. Appointive office held
- b. Date appointment began
- c. Date appointment ends
- d. Date appointing authority's term ends
- e. Appointed by
- f. Name and address of governmental entity:

7. Disclose each source of your income or that of any member of your household, which constitutes 10 percent or more of your gross income or that of any member of your household for the PRECEDING taxable year. No listing of individual clients, customers or patients is required; instead, in such cases, list only the general source of income such as, for example, "professional services"—NRS 281.571(2). You are NOT required to disclose the dollar amount of income:

SOURCE WHOSE (Self, spouse, both, others, etc.)

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(Attach additional sheets if necessary)

8. Disclose the general location and normal use of any real estate, OTHER THAN A PERSONAL RESIDENCE, in which you or a member of your household has a legal or beneficial interest, whose fair market value is \$2,500 or more and which is located in this state or any adjacent state:

LOCATION <small>(City of, county of, township/range/section. Specific addresses are not required.)</small>	NATURE OF ITS USE OR USES <small>(Unimproved vacant land, agricultural land, commercial building, apartments, single-family rental, etc.)</small>
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(Attach additional sheets if necessary)

9. Disclose the name of each creditor to whom you or a member of your household owes \$5,000 or more, except for: (a) A debt secured by a mortgage or deed of trust on real estate which is exempted from the reporting requirements of item 8 above; and (b) A debt for which a security interest in a motor vehicle for personal use was retained by the seller—NRS 281.571(4). You are NOT required to disclose the dollar amount of debts owed:

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(Attach additional sheets if necessary)

The information contained in this document is true and complete.

..... Date Signature